

Summary - Strategic Risk Register

Appendix 1

Risk No	Directorate	Risk Category	Risk Description	Current Risk Score	Initial Risk Score	Target Risk Score	Next Review Date
1	Chief Executives	Customer/ Citizen	The Council fails to identify and respond to the need for organisational change taking into account external and internal factors and fails to effectively drive organisational direction.	D2 (a)	D2 (a)	E2 (a)	23/09/09
2	Chief Executives	Political	The potential for frequency of changes in the political makeup of the Council present constraints in making medium / long term strategic decisions.	C3 (a)	C3 (a)	D3 (a)	23/09/09
3	Chief Executives	Political	Members are not provided with sufficient, relevant, accurate, reliable and robust information upon which to base strategic decisions.	E3 (a)	D3 (a)	E3 (a)	23/09/09
4	Resources	Professional/ Managerial	The Council is not sufficiently attractive as an employer to retain key staff or attract high quality individuals to key posts and as a consequence management and/or staff skills are inadequate to support and deliver the agreed levels of service and/or there is a breach or failure to meet the requirements of new or existing legislation.	C2 (r)	C2 (r)	E3 (a)	23/09/09
5	Chief Executives	Professional/ Managerial	The Council does not have the management capacity to effectively co-ordinate and support delivery of a range of key strategic or transformational projects which are set out in the Council's Medium Term Service and Financial Plan, Corporate Improvement and other key documents.	C3 (a)	C3 (a)	D4 (a)	23/09/09
6	Neighbourhoods	Customer/ Citizen	A major incident or event occurs that significantly impairs the Council's ability to function or provide a service to customers.	B3 (r)	D3 (a)	D4 (a)	23/09/09
7	Chief Executives	Partnership/ Contractual	Key partnerships or key contracts may breakdown and/or fail to deliver service objectives.	D3 (a)	D3 (a)	D4 (a)	23/09/09
8	Chief Executives	Competitive	Failure to focus on delivering service improvements and 'value for money' and not meeting our targets or those identified by external assessors.	D3 (a)	D3 (a)	E3 (a)	23/09/09
9	Chief Executive	Economic	Major city and city centre infrastructure developments or economic development plans and initiatives are adversely affected by economic, environmental or market conditions and/or are not delivered in accordance with stakeholder expectation.	B2 (r)	C3 (a)	D3 (a)	23/09/09
10	Resources	Financial	The physical assets that the council is responsible for are not effectively managed or controlled	E3 (a)	D3 (a)	E3 (a)	23/09/09
11	Environment	Environmental	Insufficient progress on securing the capital investment required (within the Council and City) to develop and implement a comprehensive adaptation and action plan for managing and monitoring major weather and climate vulnerabilities - including the development of infrastructure such as flood defences, surface water drainage renewal and green infrastructure.	C3 (a)	C3 (a)	D3 (a)	23/09/09
12	Environment	Environmental	Insufficient progress on the Council's delivery plans for the Carbon Reduction Commitment to reduce CO2 levels sufficiently to avoid severe financial penalties from Central Government. Risk of potential loss of reputation for poor performance in our 'Use of Resources' assessment and LAA designated target (reference our CAA organisational judgement).	C3 (a)	C3 (a)	D3 (a)	23/09/09